



## Management Plan introduction

### Cell and tab colour guide

|  |
|--|
| Summary tab (Some user narrative and data input)                                   |
| Introduction   |
| Narrative tab (user narrative input)   |
| Placement type tab (user data input)   |
| <a href="#">Data from the High Needs Benchmarking Tool v6a</a>                     |
| User input cells - Editable - this is where you (the user) will need to enter data |
| Prepopulated cells - Un-editable/prepopulated                                      |
| Calculation cells - Automatic calculation outputs/un-editable                      |

### Purpose of completing a management plan

It is a requirement of the DSG: conditions of grant 2020 to 2021 (paragraph 5.2) that local authorities (LAs) have a plan in place to manage their overspend on the DSG: [DSG: conditions of grant 2020 to 2021](#)

To help local authorities (LAs) meet this requirement we have provided this DSG management plan template. The template will help all LAs to focus attention on comparison of high needs provision and spend, to produce the required plan. We encourage all LAs to use the template as a planning tool.

This template will help LAs:

- comply with paragraph 5.2 of the DSG: conditions of grant 2020 to 2021
- monitor how DSG funding is being spent
- compare data on high needs spend between LAs
- highlight areas where LAs may wish to review spending
- form evidence-based and strategic future plans for the provision of children and young people with special education needs and disabilities (SEND)
- present complex funding information simply to schools forums and other external stakeholders
- endeavours to provide assurances that LAs are achieving value for money from their DSG spend
- provide a consistent reporting format to help LAs share best practice and initiatives

We expect the plan to be updated and shared in your schools forum meetings and high needs subgroups regularly and at least on a termly basis. You should aim to present the first version of the plan to the schools forum in time for budget planning discussions for 2021 to 2022 and before the deadline for block movement requests, if submitting one. We expect the management plan to be signed off by the Director of Children's Services and the s151 officer within your local authority (LA) and across other areas which have also contributed.

If you have any issues completing this template then please contact the Financial Management mailbox:

[Financial.management@education.gov.uk](mailto:Financial.management@education.gov.uk)

Further guidance on DSG balances can be found on pages 46 to 48 of the

[Schools revenue funding 2021 to 2022 operational guide](#)

### Template contents

Links are clickable to each tab:

[Summary](#) - Summary: Financial / Children and Young People (CYP) narrative

[Financial](#) - Financial summary

[CYP - Children and Young People \(CYP\) summary](#)

[Governance](#) - Governance and Management

[Stakeholders](#) - Stakeholder engagement, co-production and consultation

[LA Specific](#) - Local Authority (LA) Specific Narrative

[Placements](#) - Placement type narrative

[Mainstream](#) - Mainstream schools or academies placements

[Resourced or SEN units](#) - Resourced provision or SEN Units placements

[Special Schools](#) - Maintained special schools or special academies placements

[NMSS or independent](#) - Non-maintained special schools or independent (NMSS or independent) placements

[Hospital schools or AP](#) - Hospital schools or alternative provision (AP) placements

[Post 16 and FE](#) - Post 16 and further education (FE) placements

[Health, Social Care](#) - Health, Social Care, Therapy Services and Care Provision

[Other](#) - Other placements or direct payments

[Compare SEN](#) - High Needs Benchmarking Tool: Comparison of special provision and placements

[Compare s251](#) - High Needs Benchmarking Tool: Comparison of section 251 budget and outturn data

[Compare high needs NFF](#) - High Needs Benchmarking Tool: Comparison of high needs national funding formula illustrative allocations

## Data

This template contains some pre-populated data. These are published figures that have been submitted to the department in the SEN2, S251 and school census collections.

S251 data is used on the Financial tab and each of the placement tabs. The published figures can be found here:

[s251 budget and outturn returns for 2017 to 2018](#)

[s251 budget and outturn returns for 2018 to 2019](#)

School census data is used for the number of EHCP and statements in the table "Total number of EHCP's by primary need (with estimated future projections)" on the CYP tab. The published figures can be found here, under the 'Download associated files' dropdown:

[School census data](#)

SEN2 data is used for the number of statements and EHCPs in the table "Total number of EHCPs by age group (with estimated future projections)". This data is taken from the previous January census which details the number of children and young people with special educational needs (SEN) statements and EHCPs as provided by local authorities (LAs) in January and the data being published each year in May subsequent to the January collection.

[Education, health and care plans](#)

To note: there is a caveat that the census data only collects school aged pupils and therefore does not include further education (FE) and 'other' groups such as work based placements and young people not in education, employment or training (NEETS) with EHCPs.

[For reference SEN2 data includes information on the following cohorts:](#)

- o Post 16
- o FE colleges
- o other FE
- o sixth forms
- o special establishment
- o educated elsewhere
- o not in education, employment or training

- o other apprenticeships
- o traineeships
- o supported internships

Your forecast EHCP and pupil numbers should take into account the CYP currently receiving support as reported on the high needs census and projected numbers rather than an annual average.

### Placement details have been categorised as follows:

|   |   |
|---|---|
| Mainstream schools or academies                 | Maintained mainstream schools (including foundation schools)<br>Mainstream academies (including free schools)   |
| Resourced provision or SEN units                | Resourced provision in maintained mainstream schools and academies<br>SEN units in maintained mainstream schools and academies  |
| Maintained special schools or special academies | Maintained special schools (including foundation schools)<br>Special academies (including special free schools)   |
| NMSS or independent schools                     | Non-maintained special schools, independent special schools and other independent schools   |
| Hospital Schools or Alternative Provision       | Maintained hospital schools (including foundation schools) and pupil referral units<br>Hospital schools that are academies, and alternative provision academies (including free schools)                                      |
| Post 16 and Further Education (FE)              | General further education and tertiary colleges/higher education<br>Sixth form colleges<br>Special post 16 institution<br>Other further education   |
| Other   | Children and young people with a SEN statement or EHC plan for whom other arrangements have been made by parents or a local authority.<br>Children and young people with a statement or EHC plan who were awaiting provision. |

### Glossary of terminology

|   |  |
|---|--|
| <b>Children and young people (CYP):</b> |  |
| Under 5 years of age                    | Under school age                         |
| Aged 5-10                               | Primary                                  |
| Aged 11-15                              | Secondary                                |
| Aged 16-19                              | Further Education                        |
| Aged 20-25                              | Further Education                        |
| <b>Primary Need</b>                     |  |
| ASD                                     | Austistic Spectrum Disorder              |
| HI                                      | Hearing Impairment                       |
| MLD                                     | Moderate Learning Difficulty             |
| MSI                                     | Multi-Sensory Impairment                 |
| PD                                      | Physical difficulty                      |
| P&MLD                                   | Profound & Multiple Learning Difficulty  |
| SEMH                                    | Social, Emotional and Mental Health      |
| SLCN                                    | Speech, Language and Communciation needs |
| SLD                                     | Severe Learning Difficulty               |
| SPLD                                    | Specific Learning Difficulty             |
| VI                                      | Visual impairment                        |
| Other                                   | Other Difficulty / Disability            |
| <b>Provision Type</b>                   |  |

|                   |  |
|-------------------|--|
| AP                | Alternative Provision                      |
| PRU               | Pupil Referral Unit                        |
| NEETS             | not in education, employment or training   |
| <b>Financial:</b> |  |
| Mitigated         | if measures are put in place               |
| Unmitigated       | if no measures are put in place            |
| Outturn           | actual projected spend                     |
| SEND              | Special Educational Needs and Disabilities |
| PCF               | Parent Carer Forum                         |
| CCG               | Clinical commissioning service             |

## Summary: Financial / Children and Young People (CYP) narrative

### Financial plan narrative

This is a brief description for managing the pressures on the DSG:

### High needs trends

Our strategy for managing the number of CYP receiving individual funding from the high needs block:

### Outcomes

How our management plan will ensure the best possible outcomes for children and young people with special educational needs and disabilities (SEND) in the local area:

## Children and Young People (CYP) summary

### Children and young people with education, health and care plans (EHCPs) or receiving top ups

All the cells on this tab are either pre populated or calculated from user input on other tabs. There are overview graphs following the table summaries

#### Total number of EHCPs by age group (with estimated future projections)

| Jan                                       | 2018         | 2019         | 2020         | 2021     | 2022     | 2023     | 2024     | 2025     |
|---|--------------|--------------|--------------|----------|----------|----------|----------|----------|
| Under 5                                   | 91           | 141          | 126          | 0        | 0        | 0        | 0        | 0        |
| Age 5 to 10                               | 865          | 1,040        | 1,034        | 0        | 0        | 0        | 0        | 0        |
| Age 11 to 15                              | 917          | 1,016        | 1,115        | 0        | 0        | 0        | 0        | 0        |
| Age 16 to 19                              | 532          | 523          | 649          | 0        | 0        | 0        | 0        | 0        |
| Age 20 to 25                              | 101          | 105          | 178          | 0        | 0        | 0        | 0        | 0        |
| <b>Total number of EHCPs by Age Group</b> | <b>2,506</b> | <b>2,825</b> | <b>3,102</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Total number of CYP receiving individual top ups with no EHCP by age group (with estimated future projections)

| Jan                                       | 2018     | 2019     | 2020     | 2021     | 2022     | 2023     | 2024     | 2025     |
|---|----------|----------|----------|----------|----------|----------|----------|----------|
| Under 5                                   | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 5 to 10                               | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 11 to 15                              | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 16 to 19                              | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 20 to 25                              | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total number of EHCPs by Age Group</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Total number of CYP supported by the high needs block with no EHCP or individual top up (with estimated future projections)

| Jan                                     | 2018     | 2019     | 2020     | 2021     | 2022     | 2023     | 2024     | 2025     |
|---|----------|----------|----------|----------|----------|----------|----------|----------|
| Under 5                                 | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 5 to 10                             | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 11 to 15                            | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 16 to 19                            | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Age 20 to 25                            | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total number of CYP by Age Group</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Total number of EHCPs by primary need (with estimated future projections)

| Jan  | 2018     | 2019     | 2020     | 2021     | 2022     | 2023     | 2024     | 2025     |
|--|----------|----------|----------|----------|----------|----------|----------|----------|
| Autistic Spectrum Disorder                               | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Hearing Impairment                                       | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Moderate Learning Difficulty                             | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Multi- Sensory Impairment                                | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Physical Disability                                      | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Profound & Multiple Learning Difficulty                  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Social, Emotional and Mental Health                      | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Speech, Language and Communications needs                | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Severe Learning Difficulty                               | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Specific Learning Difficulty                             | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Visual Impairment  | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| Other Difficulty/Disability                              | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| SEN support but no specialist assessment of type of need | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total number of EHCPs by primary need</b>             | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Total number of EHCPs by provision type (with estimated future projections from each placement tab using EHCP age group data)

| Jan   | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|---|------|------|------|------|------|------|------|------|
| Mainstream schools or academies                 |      |      |      |      |      |      |      |      |
| Resourced Provision or SEN Units                |      |      |      |      |      |      |      |      |
| Maintained special schools or special academies |      |      |      |      |      |      |      |      |
| NMSS or independent schools                     |      |      |      |      |      |      |      |      |
| Hospital schools or Alternative Provision       |      |      |      |      |      |      |      |      |
| Post 16   |      |      |      |      |      |      |      |      |

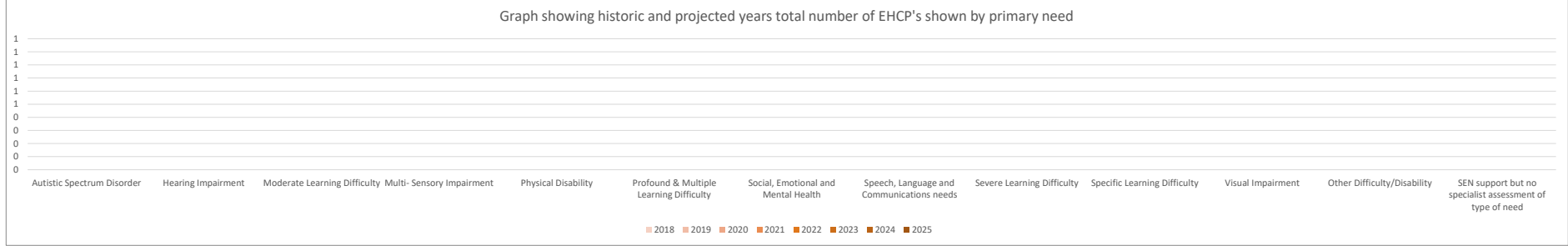
#### Published census data - prepopulated

##### Total number of EHCPs by primary need

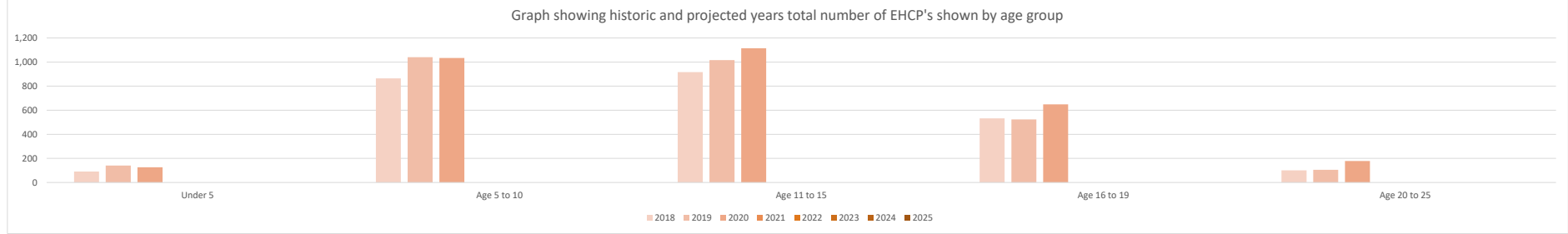
| 2018         | 2019         | 2020         |  |
|--------------|--------------|--------------|--|
| 596          | 636          | 741          | Autistic Spectrum Disorder                               |
| 38           | 37           | 38           | Hearing Impairment                                       |
| 204          | 206          | 228          | Moderate Learning Difficulty                             |
| 8            | 7            | 6            | Multi- Sensory Impairment                                |
| 169          | 172          | 174          | Physical Disability                                      |
| 83           | 81           | 75           | Profound & Multiple Learning Difficulty                  |
| 322          | 368          | 399          | Social, Emotional and Mental Health                      |
| 237          | 284          | 304          | Speech, Language and Communications needs                |
| 164          | 170          | 159          | Severe Learning Difficulty                               |
| 42           | 61           | 69           | Specific Learning Difficulty                             |
| 35           | 33           | 35           | Visual Impairment  |
| 45           | 50           | 41           | Other Difficulty/Disability                              |
|              |              |              | SEN support but no specialist assessment of type of need |
| <b>1,943</b> | <b>2,105</b> | <b>2,269</b> | <b>Total number of EHCPs by primary need</b>             |

|   |  |
|---|--|
| Other                                   |  |
| Total number of EHCPs by placement type |  |

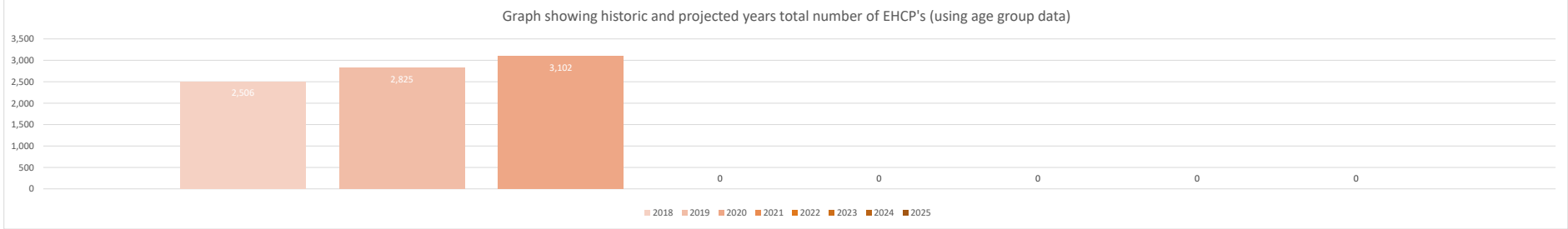
**Graph showing historic and projected years total number of EHCPs shown by primary need**



**Graph showing historic and projected years total number of EHCPs shown by age group**



**Graph showing historic and projected years total number of EHCPs (using age group data)**







|  |          |  |  |
|--|----------|--|--|
| Resourced Provision or SEN Units Total Expenditure           | £118,000 |  |  |
| Year on year change  |          |  |  |
| Resourced Provision or SEN Units Total % change year on year |          |  |  |

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

|  | 2017-18     | 2018-19     | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|-------------|-------------|---------|---------|---------|---------|---------|---------|
| Maintained Special Schools or Special Academies placements Total Expenditure           | £11,687,762 | £19,198,642 |         |         |         |         |         |         |
| Year on year change  |             | £7,510,880  |         |         |         |         |         |         |
| Maintained Special Schools or Special Academies placements Total % change year on year |             | 64%         |         |         |         |         |         |         |

|  | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|
|  |         |         |         |         |         |
|  |         |         |         |         |         |
|  |         |         |         |         |         |

|  | 2017-18    | 2018-19    | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|------------|------------|---------|---------|---------|---------|---------|---------|
| Non maintained special schools or independent (NMSS or independent) placements Total Expenditure | £8,111,374 | £9,396,490 |         |         |         |         |         |         |
| Year on year change  |            | £1,285,116 |         |         |         |         |         |         |
| NMSS or independent Total % change year on year  |            | 16%        |         |         |         |         |         |         |

|  | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|
|  |         |         |         |         |         |
|  |         |         |         |         |         |
|  |         |         |         |         |         |

|  | 2017-18    | 2018-19    | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|------------|------------|---------|---------|---------|---------|---------|---------|
| Hospital Schools or Alternative Provision placements Total Expenditure | £5,403,509 | £5,671,826 |         |         |         |         |         |         |
| Year on year change  |            | £268,317   |         |         |         |         |         |         |
| Hospital Schools or AP placements Total % change year on year          |            | 5%         |         |         |         |         |         |         |

|  | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|
|  |         |         |         |         |         |
|  |         |         |         |         |         |
|  |         |         |         |         |         |

|  | 2017-18    | 2018-19    | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|------------|------------|---------|---------|---------|---------|---------|---------|
| Post 16 placements Total Expenditure           | £1,341,198 | £2,156,900 |         |         |         |         |         |         |
| Year on year change                            |            | £815,702   |         |         |         |         |         |         |
| Post 16 placements Total % change year on year |            | 61%        |         |         |         |         |         |         |

|  | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|
|  |         |         |         |         |         |
|  |         |         |         |         |         |
|  |         |         |         |         |         |

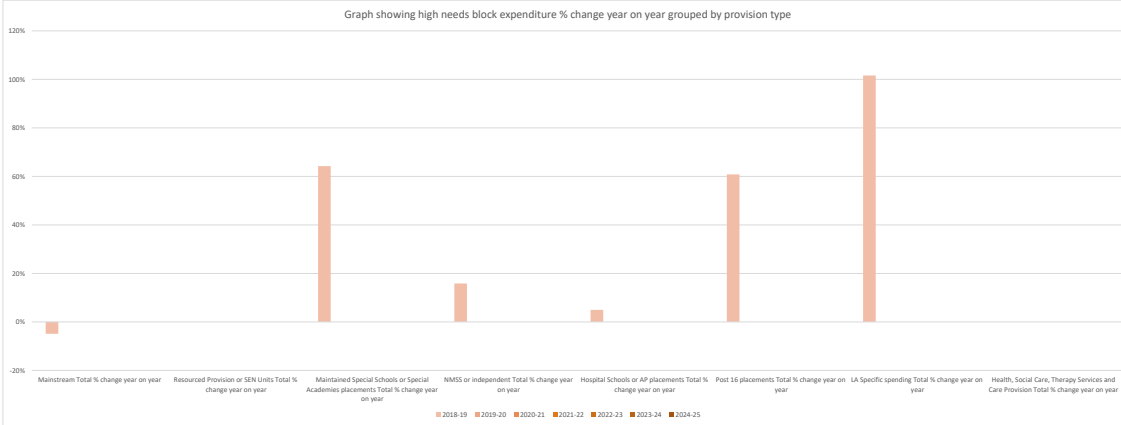
|  | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|---------|---------|---------|
| LA Specific spending Total Expenditure           | £38,341 | £77,288 |         |         |         |         |         |         |
| Year on year change                              |         | £38,947 |         |         |         |         |         |         |
| LA Specific spending Total % change year on year |         | 102%    |         |         |         |         |         |         |

|  | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|
|  |         |         |         |         |         |
|  |         |         |         |         |         |
|  |         |         |         |         |         |

|  | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|---------|---------|---------|
| Health, Social Care, Therapy Services and Care Provision Total Expenditure           | £0      | £0      |         |         |         |         |         |         |
| Year on year change  |         | £0      |         |         |         |         |         |         |
| Health, Social Care, Therapy Services and Care Provision Total % change year on year |         |         |         |         |         |         |         |         |

|  | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
|--|---------|---------|---------|---------|---------|
|  |         |         |         |         |         |
|  |         |         |         |         |         |
|  |         |         |         |         |         |

**Graph showing high needs block expenditure % change year on year grouped by provision type**





[Back to contents](#)

## Placement type narrative

These links will take you to the relevant narrative

[Mainstream](#)

[Resourced provision or SEN Units placements](#)

[Maintained special schools or special academies placements](#)

[NMSS or independent](#)

[Hospital schools and Alternative Provision](#)

[Post 16 and further education](#)

[Health & Social Care](#)

[Other placements or direct payments](#)

### Mainstream (mainstream schools or academies placements)

These have been / are our key pressures and issues in mainstream and the reasons behind the changes in the data and projected trends for the next 5 years:

Summary of our current strategy and approach to mainstream provision including our proposals to invest long term to meet a wider range of needs:

Our approach for managing the demographic demand pressures are:

The current initiatives we are trialling in mainstream provision and how these are going:

The reasons we have chosen these initiatives:

Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

[Back to top](#)

### Resourced provision or SEN Units placements

These have been / are our key pressures and issues in resourced provision or SEN Units placements and the reasons behind the changes in the data and projected trends for the next 5 years:

Summary of our current strategy and approach to resourced provision or SEN Units placements including our proposals to invest long term to meet a wider range of needs:

Our approach for managing the demographic demand pressures are:

The current initiatives we are trialing in resourced provision or SEN Units placements and how these are going:

The reasons we have chosen these initiatives:

Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

[Back to top](#)

### **Maintained special schools or special academies placements**

These have been / are our key pressures and issues in maintained special schools or special academies placements and the reasons behind the changes in the data and projected trends for the next 5 years:

Summary of our current strategy and approach to maintained special schools or special academies placements including our proposals to invest long term to meet a wider range of needs:

Our approach for managing the demographic demand pressures are:

The current initiatives we are trialing in maintained special schools or special academies placements and how these are going:

The reasons we have chosen these initiatives:

Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

[Back to top](#)

### **NMSS or independent (non-maintained special schools or independent (NMSS or independent) placements)**

These have been / are our key pressures and issues in NMSS or independent and the reasons behind the changes in the data and projected trends for the next 5 years:

The current initiatives we are trialing in NMSS or independent provision and how these are going.  
The reasons we have chosen these initiatives:  
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add

[Back to top](#)

### **Hospital schools or AP (hospital schools or alternative provision (AP) placements)**

These have been / are our key pressures and issues in hospital schools or AP and the reasons behind the changes in the data and projected trends for the next 5 years:

Our current strategy and approach to hospital schools or AP provision including our proposals to invest long term to meet a wider range of needs:  
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialing in hospital schools or AP provision and how these are going:  
The reasons we have chosen these initiatives:  
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

[Back to top](#)

### **Post 16 and FE (Post 16 and further education (FE) placements)**

These have been / are our key pressures and issues in post 16 and further education and the reasons behind the changes in the data and projected trends for the next 5 years:

Our current strategy and approach to post 16 and further education provision including our proposals to invest long term to meet a wider range of needs:  
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialing in post 16 and further education provision and how these are going:  
The reasons we have chosen these initiatives:  
Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:

[Back to top](#)

### **Health, Social Care (health, social care, therapy services and care provision)**

A summary of the inputs made by our partners and the proportion of current costs which are covered for health and social care needs of our children and young people (CYP):

What we are doing to ensure there are appropriate contributions from health and social care services to ensure we are meeting these needs of our CYP:

A brief outline of current and future demand for therapy services and arrangements that we have with health services to manage and meet this demand, including our input into this service:

[Back to top](#)

### **Other (other placements or direct payments)**

These have been / are our key pressures and issues in other placements or direct payments and the reasons behind the changes in the data and projected trends for the next 5 years:

Our current strategy and approach to other placements or direct payments provision including our proposals to invest long term to meet a wider range of needs:  
Our approach for managing the demographic demand pressures are:

The current initiatives we are trialing in other placements or direct payments provision and how these are going:

The reasons we have chosen these initiatives:

Our confidence that the overall cost of these initiatives will be less than the expenditure and of the value they will add:



## Stakeholder engagement, co-production and consultation

### Schools forum

How we have engaged or propose to engage with schools forum regarding these plans (Including any feedback or comments from schools forum):

Evidence of consultation with our schools forum:

How we intend to ensure future engagement with schools forum regarding our plans

### Education institutions

How we have engaged or propose to engage with Early Years, schools, colleges and other education institutions regarding these plans (Including any feedback or comments):

How education institutions have been involved, including their responsibilities in our plan proposals

### Parents and carers

How we have engaged or propose to engage with parents and carers regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with parents and carers regarding our plans:

### Children and young people

How we have engaged or propose to engage with children and young people regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with children and young people regarding our plans:

|  |
|--|
|  |
|--|

**Elected members (councillors, mayors)**

How we have engaged or propose to engage with elected members regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with elected members regarding our plans:

|  |
|--|
|  |
|--|

**Health partners**

How we have engaged or propose to engage with health partners regarding these plans : (Including any feedback or comments)

How we intend to ensure future engagement with health partners regarding our plans:

|  |
|--|
|  |
|--|

## Local Authority (LA) Specific Narrative

### Key risks and mitigations

Our key risks and mitigations are detailed below:

### Management plan support

What support we need to ensure we deliver our management plan effectively:

### Overall EHCP data and projected trends

Our main drivers statistically regarding all our EHCP rates are as follows:

### Strategy and approach to workforce

What we are doing to support education establishments to meet the needs of children and young people (CYP) with special educational needs and disabilities (SEND) and to promote inclusive

### Strategy and approach to EHCP rates

How we are ensuring we have the provision in place for all CYP with different needs:

## Managing demand pressures

What we are doing to manage demand pressures and the overall increase in numbers requiring support from the high needs block, including the demand from the 20-25 cohort of young people:

How we assess the threshold for our EHCP numbers:

## Sharing best practice and effective practices

How we are sharing best practice and effective practices, including how we are doing this alongside other local authorities:

## Assumptions

How we have arrived at these projected numbers - the formula we have used to arrive at the calculations and assumptions we have made, including why we have made these assumptions:

## Block movements and disapplications

These are our plans around block movements and disapplications for future years and how these fit into our overall strategy:

## Population

These are our assumptions regarding population growth within the local authority and how we have arrived at these calculations, including why we have made these assumptions:

## Governance and commissioning arrangements with CCGs

This is our approach to jointly commissioning services for CYP with SEND:

How we are using and will continue to strengthen our jointly commissioning arrangements for CYP with SEND:

## Capital

These are our plans regarding capital investment and how this will support our overall high needs strategy:

## Early years

These are our key strategies to support early years:

## Special educational needs (SEN) transport costs

This shows a year by year breakdown of our SEN transport costs:

Please include any breakdown of any costs charged to the DSG

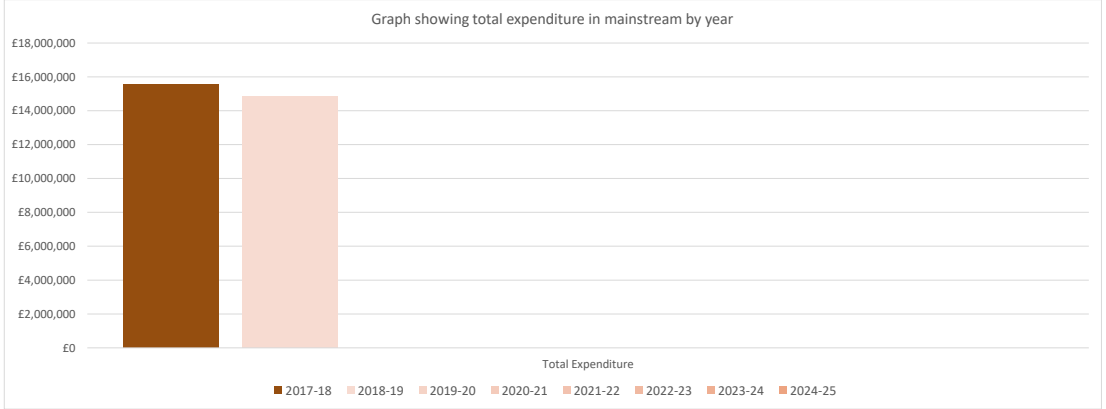
**SEN other costs**

This free text box should provide a summary of your other costs charged to the high needs block of the DSG:

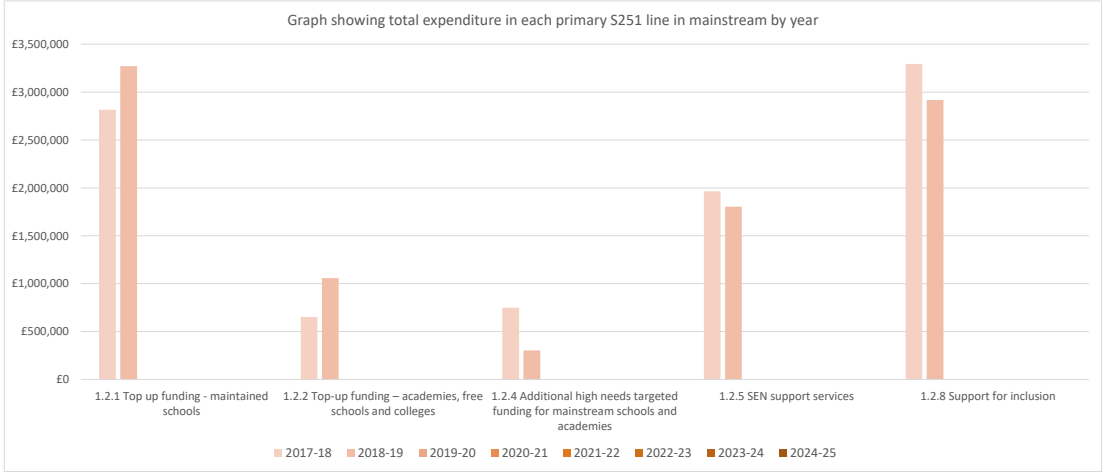


|  |  |  |
|--|--|--|
| Severe Learning Difficulty                               |  |  |
| Specific Learning Difficulty                             |  |  |
| Visual Impairment  |  |  |
| Other Difficulty/Disability                              |  |  |
| SEN support but no specialist assessment of type of need |  |  |
| <b>Total number of EHCPs by primary need</b>             |  |  |

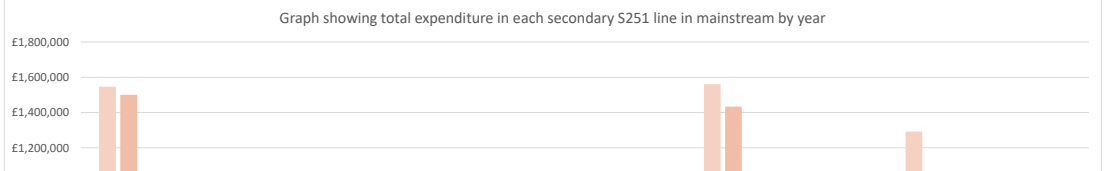
**Graph showing total expenditure in mainstream by year**



**Graph showing total expenditure in each primary S251 line in mainstream by year**



**Graph showing total expenditure in each secondary S251 line in mainstream by year**















## Non-maintained special schools or independent (NMSS or independent) placements

### Data

1.2.3

|   | Published outturn data - prepopulated |                   | Outturn | Total Projected Mitigated Expenditure (Forecast with Savings and invest to save measures) |         |         |         |         |         |
|---|---------------------------------------|-------------------|---------|---|---------|---------|---------|---------|---------|
|   | 2017-18                               | 2018-19           |         | 2019-20   | 2020-21 | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| 1.2.3 Top-up and other funding – non-maintained and independent providers | £8,111,374                            | £9,396,490        |         |   |         |         |         |         |         |
| <b>Total Expenditure</b>  | <b>£8,111,374</b>                     | <b>£9,396,490</b> |         |   |         |         |         |         |         |

|   | Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions) |         |         |         |         |
|---|---|---------|---------|---------|---------|
|   | 2020-21   | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| 1.2.3 Top-up and other funding – non-maintained and independent providers |   |         |         |         |         |
| <b>Total Expenditure</b>  |   |         |         |         |         |

#### Number of EHCPs by age group in NMSS or independent (with estimated future projections)

| Jan                                | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|------------------------------------|------|------|------|------|------|------|------|------|
| Under 5                            |      |      |      |      |      |      |      |      |
| Age 5 to 10                        |      |      |      |      |      |      |      |      |
| Age 11 to 15                       |      |      |      |      |      |      |      |      |
| Age 16 to 19                       |      |      |      |      |      |      |      |      |
| Age 20 to 25                       |      |      |      |      |      |      |      |      |
| <b>Total number s by Age Group</b> |      |      |      |      |      |      |      |      |

#### Number of CYP receiving top ups with no EHCP by age group (with estimated future projections)

| Jan                              | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|----------------------------------|------|------|------|------|------|------|------|------|
| Under 5                          |      |      |      |      |      |      |      |      |
| Age 5 to 10                      |      |      |      |      |      |      |      |      |
| Age 11 to 15                     |      |      |      |      |      |      |      |      |
| Age 16 to 19                     |      |      |      |      |      |      |      |      |
| Age 20 to 25                     |      |      |      |      |      |      |      |      |
| <b>Total number by Age Group</b> |      |      |      |      |      |      |      |      |

#### Total number of CYP supported by the high needs block (with estimated future projections)

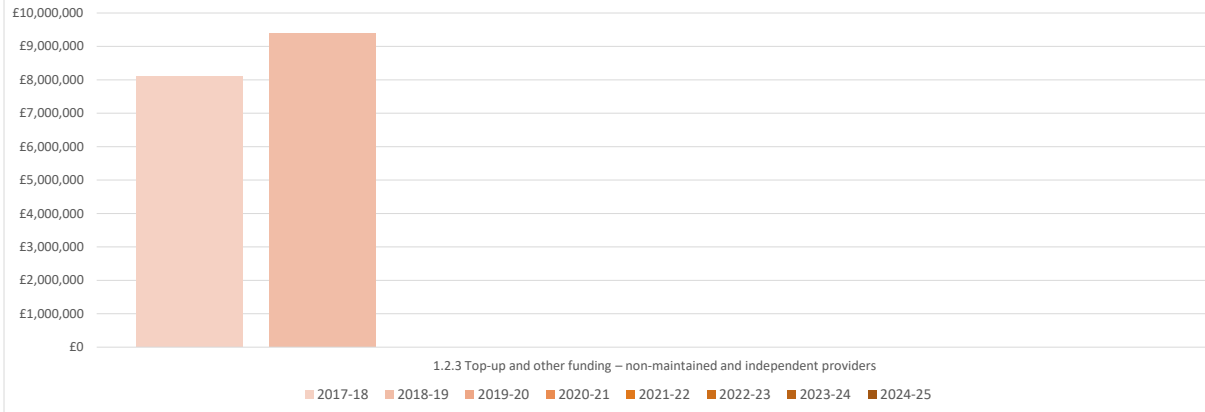
| Jan                              | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|----------------------------------|------|------|------|------|------|------|------|------|
| Under 5                          |      |      |      |      |      |      |      |      |
| Age 5 to 10                      |      |      |      |      |      |      |      |      |
| Age 11 to 15                     |      |      |      |      |      |      |      |      |
| Age 16 to 19                     |      |      |      |      |      |      |      |      |
| Age 20 to 25                     |      |      |      |      |      |      |      |      |
| <b>Total number by Age Group</b> |      |      |      |      |      |      |      |      |

#### Number of CYP supported by primary need in NMSS or independent (with estimated future projections)

| Jan  | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|--|------|------|------|------|------|------|------|------|
| Autistic Spectrum Disorder                               |      |      |      |      |      |      |      |      |
| Hearing Impairment                                       |      |      |      |      |      |      |      |      |
| Moderate Learning Difficulty                             |      |      |      |      |      |      |      |      |
| Multi- Sensory Impairment                                |      |      |      |      |      |      |      |      |
| Physical Disability                                      |      |      |      |      |      |      |      |      |
| Profound & Multiple Learning Difficulty                  |      |      |      |      |      |      |      |      |
| Social, Emotional and Mental Health                      |      |      |      |      |      |      |      |      |
| Speech, Language and Communications needs                |      |      |      |      |      |      |      |      |
| Severe Learning Difficulty                               |      |      |      |      |      |      |      |      |
| Specific Learning Difficulty                             |      |      |      |      |      |      |      |      |
| Visual Impairment  |      |      |      |      |      |      |      |      |
| Other Difficulty/Disability                              |      |      |      |      |      |      |      |      |
| SEN support but no specialist assessment of type of need |      |      |      |      |      |      |      |      |
| <b>Total number of EHCPs by primary need</b>             |      |      |      |      |      |      |      |      |

Graph showing total expenditure in each S251 line in non-maintained special schools or independent (NMSS or independent) placements by year

Graph showing total expenditure in each S251 line in non-maintained special schools or independent (NMSS or independent) placements by year









## Post 16 and further education (FE) placements

### Data

1.2.2  
1.2.5  
1.2.8

|   | Published outturn data - prepopulated |                   | Outturn | Total Projected Mitigated Expenditure(Forecast with Savings and invest to save measures) |         |         |         |         |
|---|---------------------------------------|-------------------|---------|--|---------|---------|---------|---------|
|   | 2017-18                               | 2018-19           | 2019-20 | 2020-21  | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| All the below relate to the Post school column only         |                                       |                   |         |  |         |         |         |         |
| 1.2.2 Top-up funding – academies, free schools and colleges | £1,010,507                            | £2,156,900        |         |  |         |         |         |         |
| 1.2.5 SEN support services                                  | £0                                    | £0                |         |  |         |         |         |         |
| 1.2.8 Support for inclusion                                 | £330,691                              | £0                |         |  |         |         |         |         |
| <b>Total Expenditure</b>                                    | <b>£1,341,198</b>                     | <b>£2,156,900</b> |         |  |         |         |         |         |

| Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions) |         |         |         |         | All the below relate to the Post school column only         |
|---|---------|---------|---------|---------|---|
| 2020-21   | 2021-22 | 2022-23 | 2023-24 | 2024-25 |   |
|   |         |         |         |         | 1.2.2 Top-up funding – academies, free schools and colleges |
|   |         |         |         |         | 1.2.5 SEN support services                                  |
|   |         |         |         |         | <b>Total Expenditure</b>                                    |

#### Number of EHCPs by age group in post 16 and further education (with estimated future projections)

| Jan                                | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|------------------------------------|------|------|------|------|------|------|------|------|
| Age 16 to 19                       |      |      |      |      |      |      |      |      |
| Age 20 to 25                       |      |      |      |      |      |      |      |      |
| <b>Total number s by Age Group</b> |      |      |      |      |      |      |      |      |

#### Number of CYP receiving top ups with no EHCP by age group (with estimated future projections)

| Jan                              | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|----------------------------------|------|------|------|------|------|------|------|------|
| Age 16 to 19                     |      |      |      |      |      |      |      |      |
| Age 20 to 25                     |      |      |      |      |      |      |      |      |
| <b>Total number by Age Group</b> |      |      |      |      |      |      |      |      |

#### Total number of CYP supported by the high needs block (with estimated future projections)

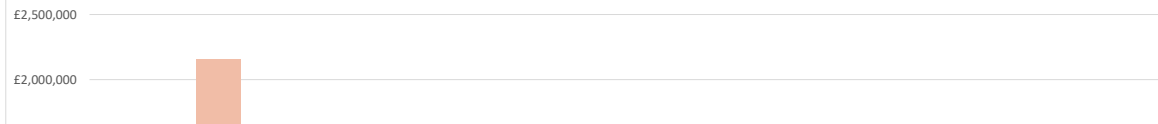
| Jan                              | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|----------------------------------|------|------|------|------|------|------|------|------|
| Age 16 to 19                     |      |      |      |      |      |      |      |      |
| Age 20 to 25                     |      |      |      |      |      |      |      |      |
| <b>Total number by Age Group</b> |      |      |      |      |      |      |      |      |

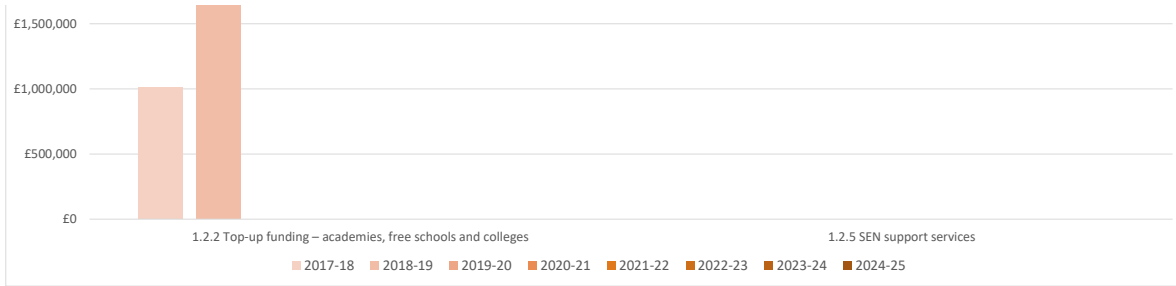
#### Number of CYP supported by primary need in post 16 and further education (with estimated future projections)

| Jan  | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 | 2025 |
|--|------|------|------|------|------|------|------|------|
| Autistic Spectrum Disorder                               |      |      |      |      |      |      |      |      |
| Hearing Impairment                                       |      |      |      |      |      |      |      |      |
| Moderate Learning Difficulty                             |      |      |      |      |      |      |      |      |
| Multi- Sensory Impairment                                |      |      |      |      |      |      |      |      |
| Physical Disability                                      |      |      |      |      |      |      |      |      |
| Profound & Multiple Learning Difficulty                  |      |      |      |      |      |      |      |      |
| Social, Emotional and Mental Health                      |      |      |      |      |      |      |      |      |
| Speech, Language and Communications needs                |      |      |      |      |      |      |      |      |
| Severe Learning Difficulty                               |      |      |      |      |      |      |      |      |
| Specific Learning Difficulty                             |      |      |      |      |      |      |      |      |
| Visual Impairment  |      |      |      |      |      |      |      |      |
| Other Difficulty/Disability                              |      |      |      |      |      |      |      |      |
| SEN support but no specialist assessment of type of need |      |      |      |      |      |      |      |      |
| <b>Total number of EHCPs by primary need</b>             |      |      |      |      |      |      |      |      |

### Graph showing total expenditure in each S251 line in post 16 and further education (FE) placements by year

Graph showing total expenditure in each S251 line in post 16 and further education (FE) placements by year



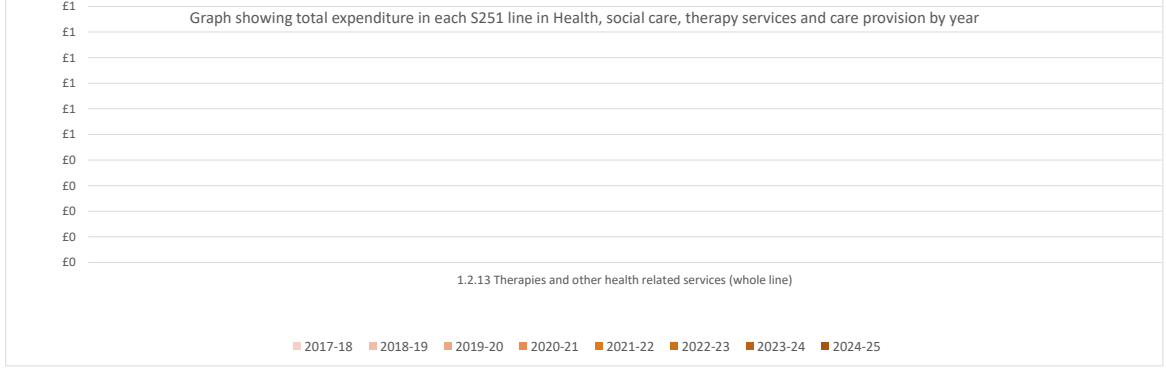


## Health, Social Care, Therapy Services and Care Provision

### Data

| 1.2.13 | 1.2.13 Therapies and other health related services (whole line) | Published outturn data - prepopulated |         | Outturn | Total Projected Mitigated Expenditure (Forecast with Savings and invest to save measures) |         |         |         |         | Total Projected Unmitigated Expenditure (Forecast based on current trends without mitigating actions) |         |         |         |         |   |
|--------|---|---------------------------------------|---------|---------|---|---------|---------|---------|---------|---|---------|---------|---------|---------|---|
|        |   | 2017-18                               | 2018-19 | 2019-20 | 2020-21   | 2021-22 | 2022-23 | 2023-24 | 2024-25 | 2020-21   | 2021-22 | 2022-23 | 2023-24 | 2024-25 | 1.2.13 Therapies and other health related services (whole line) |
|        |   | £0                                    | £0      |         |   |         |         |         |         |   |         |         |         |         |   |
|        | <b>Total Expenditure</b>  | £0                                    | £0      |         |   |         |         |         |         |   |         |         |         |         | <b>Total Expenditure</b>  |

### Graph showing total expenditure in each S251 line in Health, social care, therapy services and care provision by year







[Back to contents](#)

## High Needs Benchmarking Tool

### Comparison of special provision and placements

Care should be taken in interpreting these charts. For example, the proportion of children and young people with SEN statements or EHC plans in mainstream schools will reflect both the pupil intake of the schools and the assessment practice and process in the LA. A lower proportion will not necessarily indicate that the schools are less inclusive of children and young people with SEN. The categories have been calculated per 1000 of 2 to 18 population to provide useful comparisons across LAs. **Please note there is currently no SEN data for the new LAs (Dorset (838) and Bournemouth, Christchurch and Poole (839)) in the benchmarking tool. The first SEN data for them collected in January 2020 and will be published in the next update of the tool.**

| Your local authority | Year    |
|----------------------|---------|
| #NAME?               | 2019-20 |

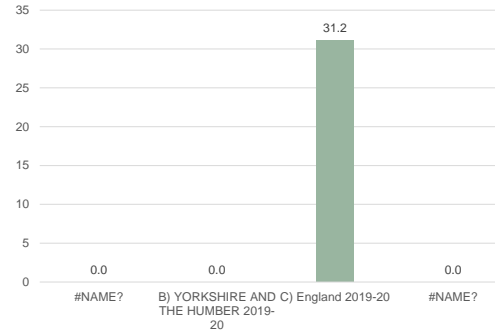
| Viewing comparison with     | Year    |
|-----------------------------|---------|
| B) YORKSHIRE AND THE HUMBER | 2019-20 |

|            |         |
|------------|---------|
| C) England | 2019-20 |
|------------|---------|

|        |         |
|--------|---------|
| #NAME? | 2019-20 |
|--------|---------|

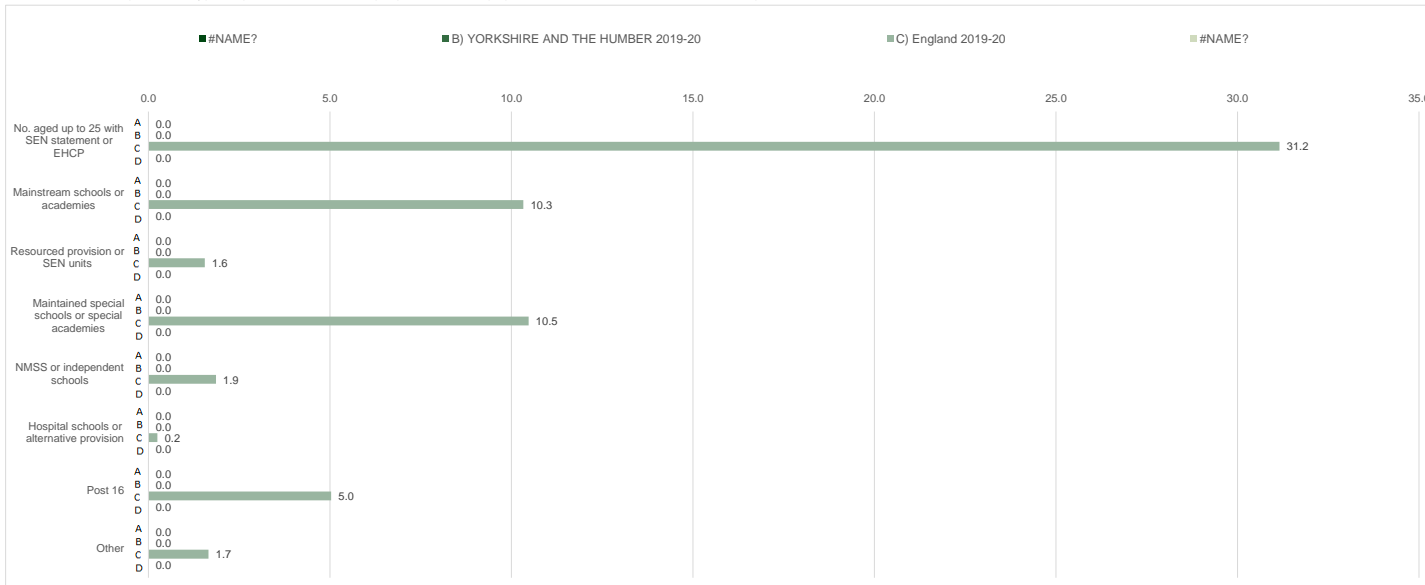
**Chart 1: Number aged up to 25 with SEN statement or EHC plan (per 1000 of 2-18 population )**

This chart compares the proportion of children and young people with SEN statements or EHC plans. Differences in proportions reflect not only differences in the level of needs but also variations between local authorities in the way that SEN assessments are undertaken, EHC plans are produced and special provision is made.



**Chart 2: Placement of pupils aged up to 25 with SEN statement or EHC plan (per 1000 of 2-18 population)**

This chart breaks down the proportion of children and young people with SEN statements or EHC plans into where they are placed. The categories of special provision are explained in more detail in the "Glossary and sources" worksheet and the data can be found in data table 2. Differences between local authorities should be interpreted with care. For example, lower numbers could reflect a lower use of a particular type of provision or a lower proportion of the population with SEN statements or EHC plans.



## High Needs Benchmarking Tool

### Comparison of section 251 budget and outturn data

This sheet uses data from local authorities' section 251 budget and outturn returns, which have been submitted in line with the guidance for the relevant year, for example 2019-20 :

<https://www.gov.uk/guidance/section-251-2019-to-2020>

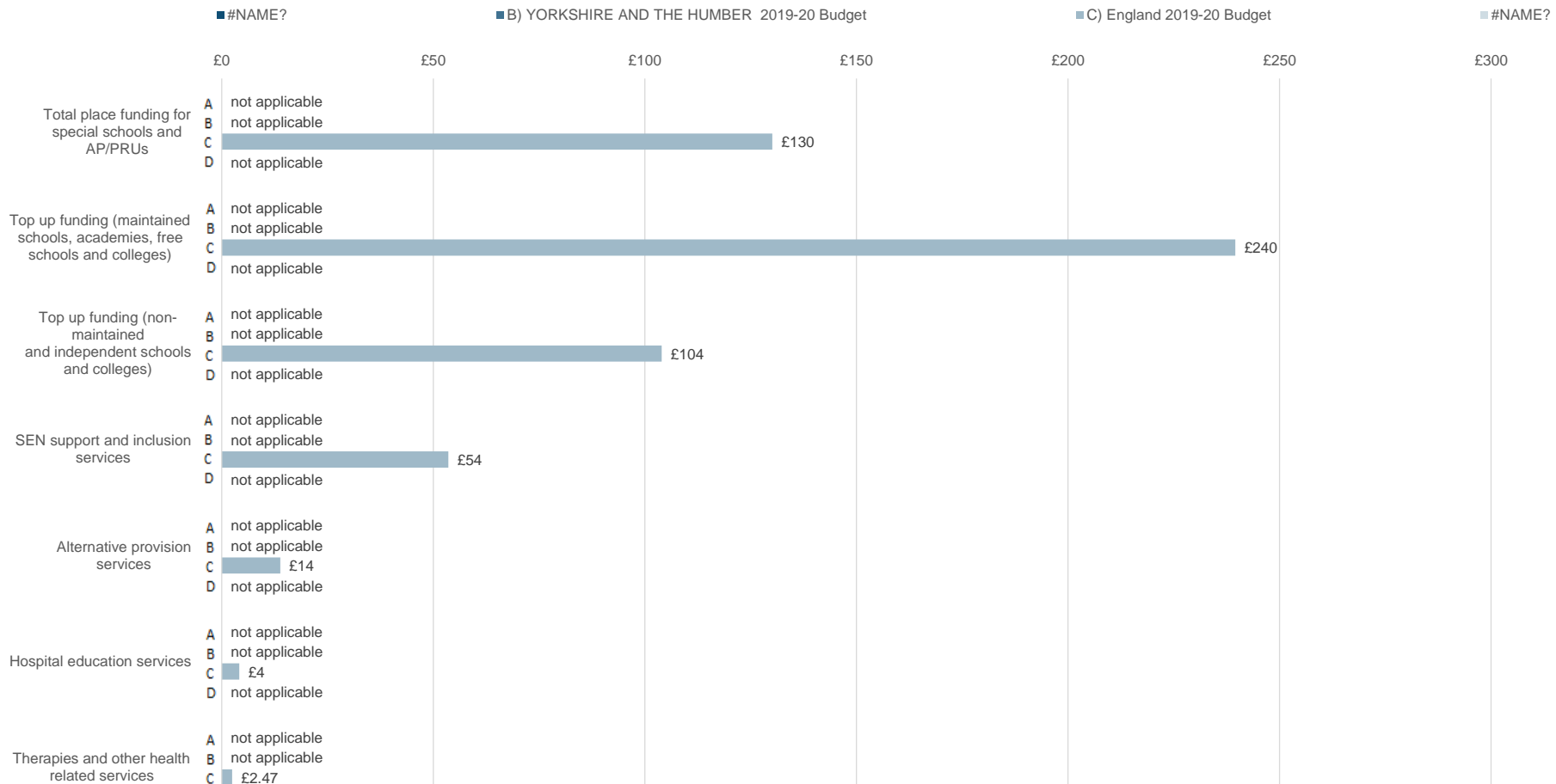
The categories have been combined and calculated per head of the 2-18 population to provide useful comparisons of spend. It is important to understand the context of local authorities' expenditure patterns, and not to consider these figures in isolation.

### Chart 3: High needs amount per head of 2-18 population

This chart compares budgeted and/or outturn spend per head, using aggregated section 251 categories as explained in the "Glossary and sources" worksheet. The data can be found in data table 3.

*Note that place funding includes academies for the budget but excludes academies for outturn.*

*Note that the place funding category includes special schools and academies and PRUs and AP academies to enable comparison across years (refer to the "Glossary and sources" worksheet for category changes in 2018-19).*



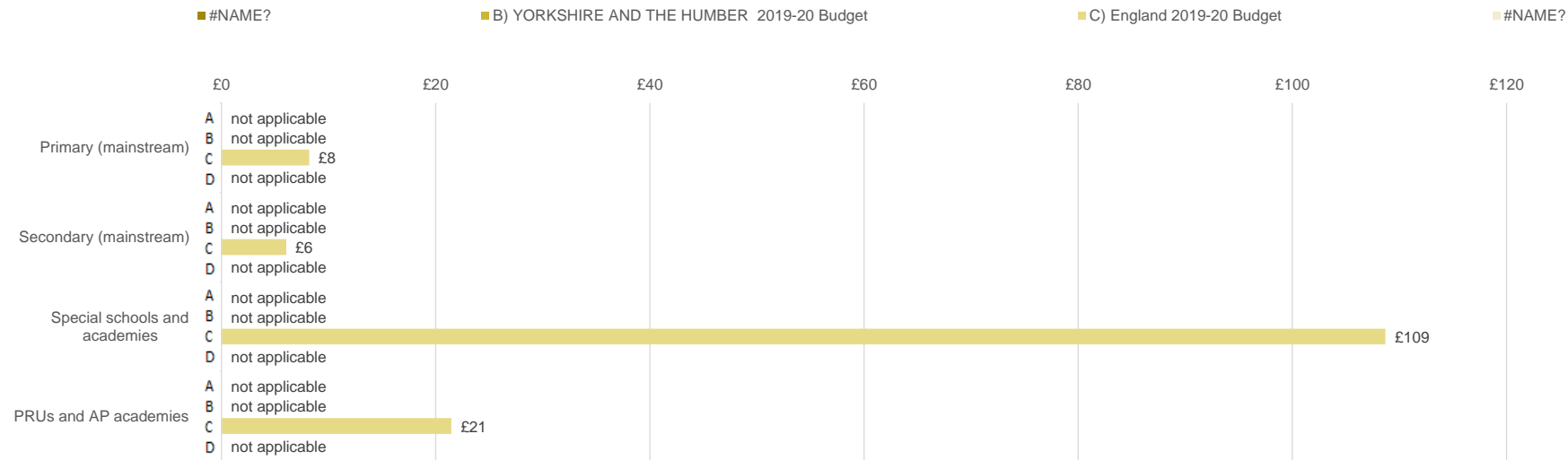


D not applicable

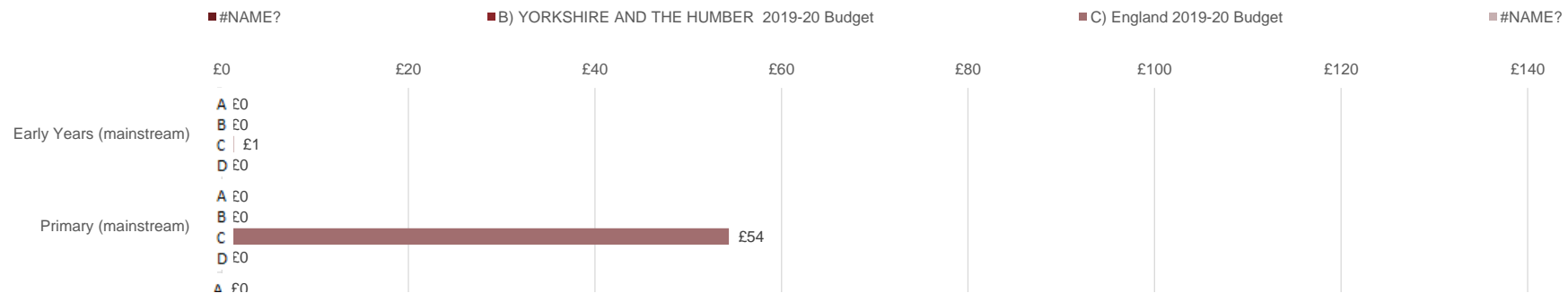
The following charts disaggregate local authority funding; top up funding (maintained schools, academies, free schools and colleges); and top up funding (non-maintained and independent schools and independent schools and colleges) into phase and institution type and income, for the selected comparators. This does not include expenditure on very young children with SEN or a disability which some local authorities make from their early years budgets. Differences can reflect both variations in spend and variations in the make-up of the local authority – for example, a greater proportion of secondary schools than the comparator.

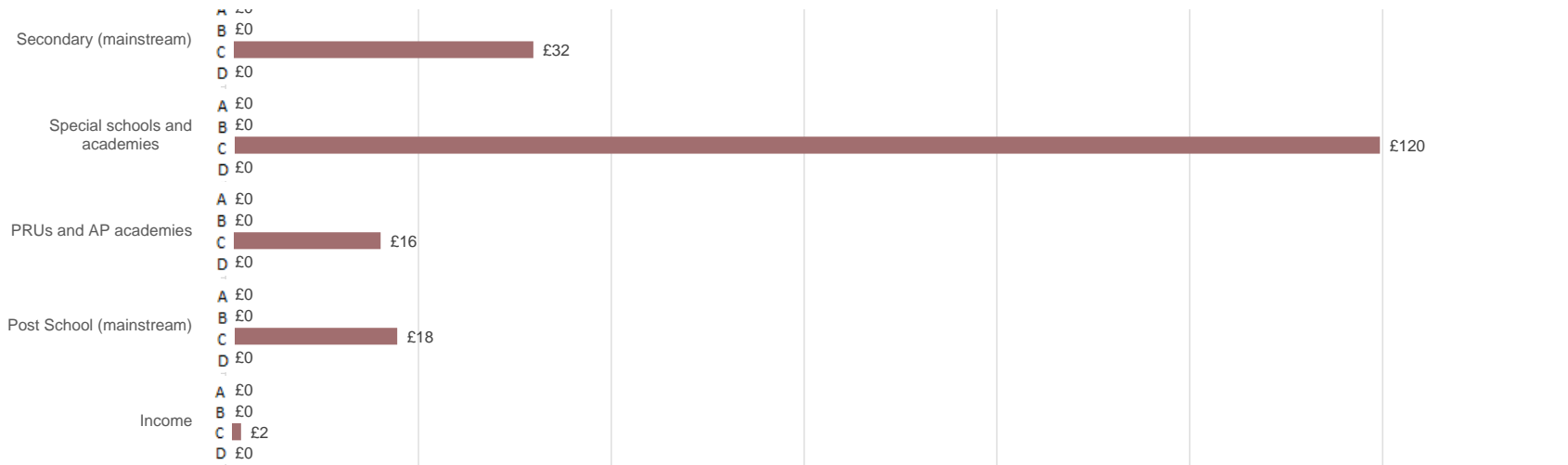
**Chart 4: High needs amount per head of 2-18 population: place funding split by phase (for mainstream) and type of institution (for specialist provision)**

Note that place funding for Primary and Secondary schools was included for the first time in 2018-19. If a year prior to this is selected this category will be blank.

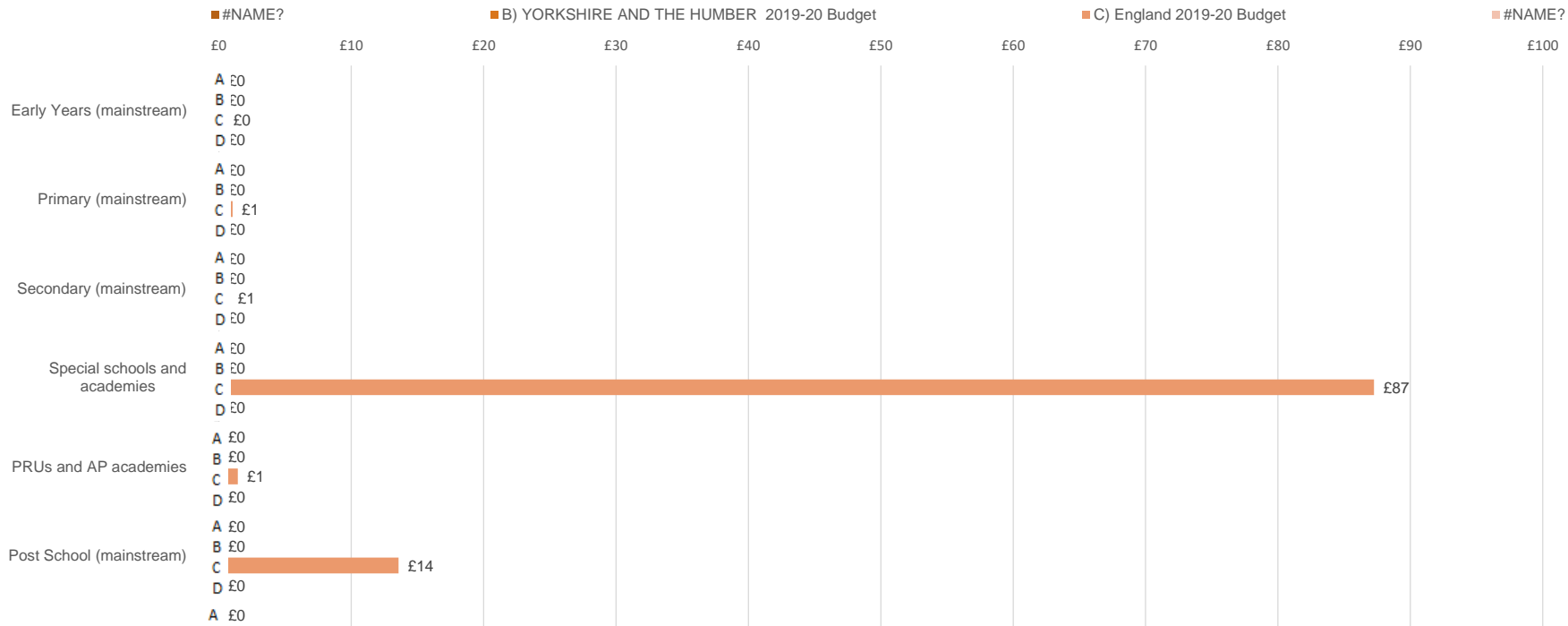


**Chart 5: High needs amount per head of 2-18 population: top up funding (maintained schools, academies, free schools and colleges) split by phase (for mainstream) and type of institution (for specialist provision)**





**Chart 6: High needs amount per head of 2-18 population: top up funding (non-maintained and independent schools and colleges) split by phase (for mainstream) and type of institution (for specialist provision)**





## High Needs Benchmarking Tool

### Comparison of high needs national funding formula illustrative allocations

This sheet shows the provisional high needs national funding formula allocations for 2020-21, as published on 11 October 2019. The import/export adjustment will be updated with January 2020 school census and February R06 2020 ILR data when this data becomes available. Further information can be found in the policy document, impact table, and technical note at the following links:

<https://www.gov.uk/government/publications/national-funding-formula-tables-for-schools-and-high-needs-2020-to-2021>

<https://www.gov.uk/government/publications/national-funding-formula-for-schools-and-high-needs>

Table 1: High needs national funding formula allocations

|                             | High needs NFF 2019-20 allocation | High needs NFF 2020-21 provisional allocation | High needs NFF provisional % gains available | Percentage change in elements included in the funding floor and gains calculation (per head of 2-18 population) |
|-----------------------------|-----------------------------------|---|--|---|
| #NAME?                      | £49,549,613                       | £55,030,214                                   | 11.1%  | 11.3%   |
| B) YORKSHIRE AND THE HUMBER |                                   |   | not applicable                               |   |
| C) England                  |                                   |   | not applicable                               |   |
| #NAME?                      | not applicable                    | not applicable                                | not applicable                               | not applicable  |

Chart 8: Index of 2-18 population qualifying for national funding formula deprivation factors

This chart compares the incidence of deprivation, the data for which is shown in data table 4. Both free school meals and IDACI are being used as a proxy for special educational needs, and a greater incidence attracts through the national funding formula. IDACI band A is the most deprived.

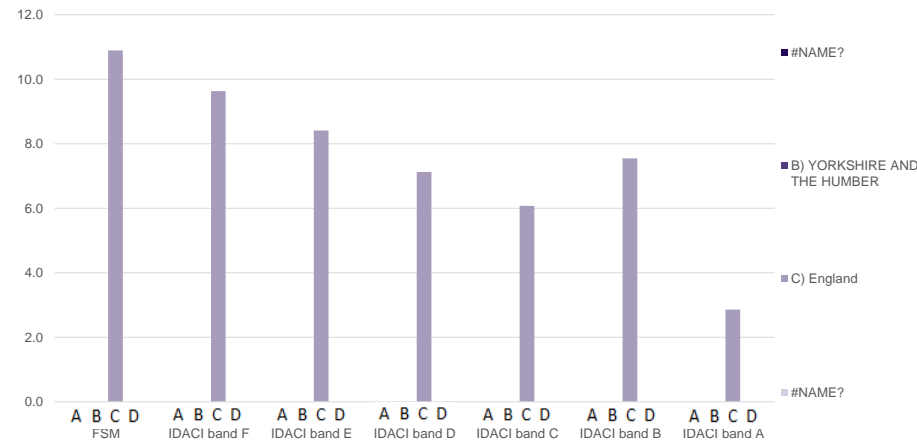


Chart 7: Provisional import/export adjustments

This chart shows the import/export adjustment for individual local authorities only. This factor reflects cross-border movements and any structural changes such as college mergers. A negative adjustment reflects the local authority being a net exporter, and a positive adjustment for a net importer. This factor is currently provisional and is calculated from January 2019 school census data and ILR data R06 cut taken in February of the 2018/19 academic year. This factor will be updated for 2020-21 allocations with January 2020 school census data and data from the February R06 ILR for 2019/20.

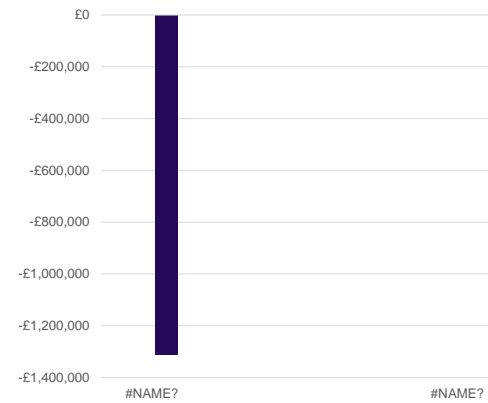


Chart 9: Index of 2-18 population qualifying for national funding formula poor health and low attainment factors

This chart compares the incidence of poor health and attainment, the data for which is shown in data table 4. Bad health, disability, and low attainment are being used as a proxy for special educational needs and disability, and a greater incidence attracts more funding through the national funding formula.

